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Form 210A (10/06)



# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Vontobel AG, Zurich / Switzerland	CREDIT SUISSE, Zurich / Switzerland	
Name of Transferee	Name of Transferor	
Name and Address where notices to transferee should be sent:  Bank Vontobel AG Gotthardstrasse 43 Postfach	Court Claim # (if known): 55829  Date Claim Filed: not known  Amount of Claim: to be determined  Portion of Claim Transferred (see	
CH-8022 Zurich / Switzerland	Schedule I): CHF 40'000	
Phone: 0041 58 283 74 71	Phone:	
Last Four Digits of Acct #:	Last Four Digits of Acct. #:	
Name and Address where transferee payments should be sent (if different from above):		
Phone:		
Last Four Digits of Acct #:		
I declare under penalty of perjury that the infor	rmation provided in this notice is true and correct to	
the best of my knowledge and belief.		

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

T. Schwerzmann

Director

Andrea Sieber

Authorized Offices

#### **EVIDENCE OF TRANSFER OF CLAIM**

#### TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Credit Suisse AG ("Transferor") unconditionally and irrevocably transferred to Bank Vontobel AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55829), CHF 40'000 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON March 23, 2011.

CREDIT SUISSE AG

Name: René Trüssel

Title: Assistant Vice President

By: VOSI
Name: Nina Tosi

Name: Nina Tosi Title: Vice President 08-13555-mg Doc 15523 Filed 03/28/11 Entered 03/31/11 16:20:14 Main Document Pg 3 of 3



#### **SCHEDULE I**

### **Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Currency
				and nominal
				amount
XS0337337710	55829	October 29, 2009	Lehman Brothers Securities NV	CHF 40'000